

41 is the largest size of a cap in $PG(4, 4)$

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Abstract

We settle the question of the maximal size of caps in $PG(4, 4)$, with the help of a computer program.

1 Introduction

A **cap** in $PG(k - 1, q)$ is a set of points no three of which are collinear. If we write the n points as columns of a matrix we obtain a (k, n) -matrix such that every set of three columns is linearly independent, hence the generator matrix of a linear orthogonal array of strength 3. This is a check matrix of a linear code with minimum distance ≥ 4 . We arrive at the following:

Theorem 1 *The following are equivalent:*

- *A set of n points in $PG(k-1, q)$, which form a cap.*
- *A q -ary linear orthogonal array of length n , dimension k and strength 3.*
- *A q -ary linear code $[n, n-k, 4]_q$.*

Denote by $m_2(k, q)$ the maximum cardinality of a cap in $PG(k, q)$. Assume $q > 2$. It is known that

$$m_2(2, q) = \begin{cases} q+1 & \text{if } q \text{ is odd} \\ q+2 & \text{if } q \text{ is even} \end{cases}$$

and $m_2(3, q) = q^2 + 1$. Only two values $m_2(k, q)$ are known when $q > 2, k > 3$. These are $m_2(4, 3) = 20$ (the Pellegrino caps [6]) and $m_2(5, 3) = 56$ (the Hill cap [5]). In this paper we are going to establish the following:

Theorem 2 $m_2(4, 4) = 41$.

The lower bound has been established by Tallini [7] in 1964. In the last section we will give two essentially different 41-caps in $PG(4, 4)$. We have to prove that $PG(4, 4)$ does not contain a 42-cap.

Assume there is a 42-cap $\mathcal{K} \subset PG(4, 4)$. Denote by $a(i)$ the number of hyperplanes meeting \mathcal{K} in precisely i points. Construct a quaternary $(5, 42)$ -matrix G with the points of the cap as columns. Put $\mathcal{K} = \{P_1, P_2, \dots, P_{42}\}$, where P_j corresponds to column j of G . Matrix G is a generator matrix of a quaternary linear code \mathcal{C} of length 42 and dimension 5. Denote by A_i the number of code-words of weight i . The rows of G will be denoted by $v_i, i = 1, 2, 3, 4, 5$. Let $0 \neq x = (x_1, x_2, \dots, x_{42}) \in \mathcal{C}$. Then $x = \sum_{i=1}^5 \lambda_i v_i$, where $\lambda_i \in \mathbb{F}_4$. Consider the hyperplane $H = (\lambda_1, \dots, \lambda_5)^\perp$. We have $P_j \in H \iff x_j = 0$. This shows that there is a 1-1 correspondence between hyperplanes intersection \mathcal{K} in i points and 1-dimensional subspaces of code \mathcal{C} , whose nonzero vectors have weight $42 - i$. This proves the following well-known fact:

Theorem 3 *Let $\mathcal{K} \subset PG(4, 4)$ be a 42-cap and \mathcal{C} a quaternary code generated by a matrix whose columns represent the points of \mathcal{K} . Denote by $a(i)$ the*

number of hyperplanes meeting \mathcal{K} in precisely i points, by A_i the number of code-words of weight $i, i = 1, 2, \dots, 42$. Then the following holds for all i :

$$A_i = 3 \cdot a(42 - i).$$

It is known that the maximum possible minimum distance of a quaternary code of length 42 and dimension 5 is $d = 29$, see Brouwer's data base [3]. Theorem 3 shows that some hyperplane H must meet \mathcal{K} in at least 13 points.

Lemma 1 *Let $\mathcal{K} \subset PG(4, 4)$ be a 42-cap. There is a hyperplane H such that $|\mathcal{K} \cap H| \geq 13$.*

Clearly $\mathcal{K} \cap H$ is a cap in $PG(3, 4)$. Its cardinality is therefore bounded by 17 from above. Our proof will consist of two steps: We will classify all caps in $PG(3, 4)$ with at least 13 points, up to operation of the group $PGL(4, 4)$. The second and decisive step is to run a program, which in each of these cases completes an exhaustive search for 42-caps intersecting a fixed hyperplane in a given cap of cardinality ≥ 13 . The program is written in C++. The central recursive procedure is printed and explained in Section 3. The program needs about 1MB of memory. On a HP 712/60 workstation it runs from 17 hours when starting from the ovoid in $PG(3, 4)$ up to 19 days starting from a 13-cap in $PG(3, 4)$.

2 Caps in $PG(3, 4)$

2.1 Caps in ovoids

We are going to review some basic facts of geometric algebra. For an introduction see Artin [1]. It is well-known that the maximum size of a cap in $PG(3, q), q > 2$ is $q^2 + 1$. Also, the only 17-cap in $PG(3, 4)$ is the ovoid. Ovoids may be described as follows:

Let Q be a non-degenerate quadratic form defined on the vector space $V = V(2m, q)$. Denote by $(,)$ the symmetric bilinear form such that

$$Q(x + y) = Q(x) + Q(y) + (x, y)$$

for all $x, y \in \mathbb{F}_q$. Here we have specialized to the case of characteristic 2. Then (V, Q) is of one of two possible types, which are distinguished by the Witt

index d , defined as the dimension of the largest totally isotropic subspace. $d = 2$ is called the (+)type, $d = 1$ the (-)type. The group of isomorphisms (the orthogonal group) is defined as the set of all elements in $GL(2m, q)$, which respect this quadratic form. It is denoted by $\Omega_{2m}^+(q)$ and $\Omega_{2m}^-(q)$, respectively. Here we are interested in the (-)type in dimension 4. The points of $PG(3, q)$ are the 1-dimensional subspaces of V . The collection of isotropic points form a cap $\mathcal{Q} \subset PG(3, q)$. It is easy to see that \mathcal{Q} has $q^2 + 1$ points (see [1]). The order of $\Omega_4^-(q)$ (in characteristic 2) is

$$|\Omega_4^-(q)| = (q-1)(q^2+1)q^2(q+1)2 = 2(q^2-1)q^2(q^2+1).$$

It is known that $\Omega_4^-(q)$ is isomorphic to a subgroup of $PGL(2, q^2)$, in its action on the points of the projective line $PG(1, q^2)$. Put $G_0 = PGL(2, 16) = SL(2, 16)$. This is a simple group, which under this isomorphism maps to a subgroup of index 2 in $\Omega_4^-(4)$. As $PGL_2(q^2)/PGL_2(q^2)$ is cyclic it follows that the isomorphism carries $\Omega_4^-(4)$ to $G = SL_2(16) < \phi >$, where ϕ is induced by the field automorphism $x \mapsto x^4$. We study the operation of G on subsets of cardinality at least 13 of $PG(1, 16)$. As G_0 is 3-transitive there is one such orbit for each of the cardinalities 17, 16, 15, 14. The operation on the 13-sets is similar to the operation on the complements, the 4-sets. The orders of our groups are $g_0 = |G_0| = 17 \cdot 16 \cdot 15$ and $g = |G| = 2 \cdot g_0$. As $\binom{17}{4}$ does not divide g , there must be more than one orbit. For concrete calculations we use the representation of \mathbb{F}_{16} as given in the last section. Consider the orbits of G_0 on 4-subsets. Because of 3-transitivity each such orbit has a representative $\{\infty, 0, 1, x\}$. The stabilizer of $\{\infty, 0, 1\}$ in G_0 is a symmetric group generated by the elements $\tau \mapsto \tau + 1$ and $\tau \mapsto 1/\tau$. The orbits of this group on 14 elements of $\mathbb{F}_{16} \setminus \mathbb{F}_2$ are the following:

$$\{\omega, \omega^2\}, \{\epsilon, \epsilon^3, \epsilon^4, \epsilon^{11}, \epsilon^{12}, \epsilon^{14}\} \text{ and } \{\epsilon^2, \epsilon^6, \epsilon^7, \epsilon^8, \epsilon^9, \epsilon^{13}\}.$$

It follows that G_0 has at most 3 orbits of 4-sets. The Frobenius automorphism ϕ fixes $\infty, 0$ and 1. As it maps $\epsilon \mapsto \epsilon^4$ it follows that the orbits of G on 4-sets agree with the orbits of G_0 . In order to be on the safe side let us calculate the number of orbits. Here is the character-table of $SL_2(16)$, followed by the permutation character π_4 on the unordered 4-sets. The character-tables of the groups $PGL_2(q)$ have been given in [2].

| The character-table of $SL(2, 16)$ | | | | | | | |
|------------------------------------|-----------------|-----|------------------------------|------------------------------|------------------------------|------------------------------|-------------------------------|
| | 1 | z | a^r | a^3 | a^6 | a^5 | b^s |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| St | 16 | 0 | 1 | 1 | 1 | 1 | -1 |
| χ_i | 17 | 1 | $\alpha^{ir} + \alpha^{-ir}$ | $\alpha^{3i} + \alpha^{-3i}$ | $\alpha^{6i} + \alpha^{-6i}$ | $\alpha^{5i} + \alpha^{-5i}$ | 0 |
| Θ_j | 15 | -1 | 0 | 0 | 0 | 0 | $-(\beta^{js} + \beta^{-js})$ |
| π_4 | $\binom{17}{4}$ | 28 | 0 | 0 | 0 | 10 | 0 |

Here α, β are primitive 15^{th} and 17^{th} roots of unity, respectively. We have $i = 1, \dots, 7; j = 1, \dots, 8, r \in \{1, 2, 4, 7\}$. a and b are elements of orders 15 and 17 in $SL(2, 16)$, respectively. Each nonidentity power of a has $\langle a \rangle$ as centralizer, each nonidentity power of b has $\langle b \rangle$ as centralizer. As we know the cycle type of each element of $SL(2, 16)$ we can also determine the number of unordered 4-sets it fixes. These are the values of π_4 . For example, a has type (15,1,1). Clearly $\pi_4(a) = 0$. As a^5 has type (3,3,3,3,1,1) we see that this element fixes precisely 10 unordered 4-sets, hence $\pi_4(a^5) = 10$.

The number of orbits of $SL(2, 16)(= G_0)$ on unordered 4-sets is given by the scalar product $(\pi_4, 1)$, where 1 is the trivial character. We obtain

$$(\pi_4, 1) = \frac{1}{17 \cdot 16 \cdot 15} \left(\binom{17}{4} + 28 \cdot 17 \cdot 15 + 10 \cdot 17 \cdot 16 \right) = 3.$$

We conclude that G_0 (and therefore also G) has three orbits of 4-sets. Denote these orbits by $\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3$ where \mathcal{O}_1 is the shortest orbit. We have seen that every unordered triple is contained in exactly 2 members of \mathcal{O}_1 , in 6 of \mathcal{O}_2 and in 6 of \mathcal{O}_3 . By double counting we obtain $|\mathcal{O}_1| = \binom{17}{3} \cdot 2/4 = 17 \cdot 16 \cdot 15 / 12 = 17 \cdot 5 \cdot 4 = 340$, and $|\mathcal{O}_2| = |\mathcal{O}_3| = 3 |\mathcal{O}_1|$. It is reassuring to note that these numbers add up to $\binom{17}{4}$. The stabilizer of a representative of \mathcal{O}_1 therefore has order $g/340 = 24$ and the stabilizers of representatives of the remaining orbits have orders $24/3 = 8$.

Lemma 2 *G has three orbits of unordered 4-subsets in its action on the projective line. The corresponding stabilizers have orders 24, 8 and 8, respectively. These orbits are also full orbits under G_0 .*

So far we considered the action of $PGL_4(q)$ on quadratic forms. The group $\Omega_4^-(q)$ was defined as the stabilizer of an ovoid under this group. It is

clear that the larger group $P\Gamma L_4(q)$ permutes quadratic forms. Denote the stabilizer of an ovoid under this group by $O_4^-(q)$. Let $q = 2^f$. Then $P\Gamma L_4(q)$ is an extension of $PGL_4(q)$ by the cyclic group of order f generated by the Frobenius mapping $x \mapsto x^2$. As the image of an ovoid under the Frobenius is an ovoid again, it follows that $O_4^-(q)$ is an extension of $\Omega_4^-(q)$ by a cyclic group of order f . It is in fact known that

$$O_4^-(q) \cong P\Gamma L_2(q^2)$$

and the operation of $O_4^-(q)$ on the points of the ovoid is similar to the action of $P\Gamma L_2(q^2)$ on the points of the projective line. Extending our earlier discussion of G on $PG(1, 16)$ to the action of $P\Gamma L_2(16)$ we see that this group fuses the two long orbits of 4-sets under G into one orbit. This yields the following:

Lemma 3 *$P\Gamma L_2(16)$ has two orbits of unordered 4-subsets in its action on the projective line. The corresponding stabilizers have orders 48 and 8, respectively.*

Lemma 4 *Two different ovoids in $PG(3, 4)$ intersect in less than 13 points.*

Proof: The quadratic form, which determines an ovoid, may be described by

$$Q(x_1, x_2, x_3, x_4) = x_1x_2 + x_3^2 + x_3x_4 + \omega x_4^2.$$

We start by exhibiting a set $\mathcal{N} = \{P_i = \langle p_i \rangle \mid i = 1, 2, \dots, 9\}$ of 9 points, which is contained in $V(Q)$ and in no other ovoid. We choose the p_i as follows:

| i | p_i |
|-----|-----------------------------------|
| 1 | (1,1,1,0) |
| 2 | (ω , ω^2 , 1, 0) |
| 3 | (ω^2 , ω , 1, 0) |
| 4 | (1, ω , 0, 1) |
| 5 | (ω , 1, 0, 1) |
| 6 | (ω^2 , ω^2 , 0, 1) |
| 7 | (1, ω , 1, 1) |
| 8 | (ω , 1, 1, 1) |
| 9 | (ω^2 , ω^2 , 1, 1) |

Let $\rho \in \Omega_4^-(4)$ be described by

$$\rho(x) = (\omega x_1, \omega^2 x_2, x_3, x_4).$$

It is clear that ρ has order 3 and $\{P_1, P_2, P_3\}, \{P_4, P_5, P_6\}, \{P_7, P_8, P_9\}$ are orbits of ρ .

Assume $\mathcal{N} \subset V(Q')$, where $Q'(x_1, x_2, x_3, x_4) = \sum_{i=1}^4 \lambda_i x_i^2 + \sum_{i < j} \mu_{i,j} x_i x_j$. Consider the three equations given by $Q'(p_1) = Q'(p_2) = Q'(p_3) = 0$. The sum of these equations yields $\mu_{1,2} = \lambda_3$. Other linear combinations yield $\mu_{1,3} = \lambda_2$ and $\mu_{2,3} = \lambda_1$. In the same way the equations $Q'(p_4) = Q'(p_5) = Q'(p_6) = 0$ yield $\mu_{1,2} = \omega^2 \lambda_4, \mu_{1,4} = \omega^2 \lambda_2, \mu_{2,4} = \omega^2 \lambda_1$. We can express all coefficients in terms of $\lambda_1, \lambda_2, \lambda_3$ and $\mu_{3,4}$. Finally, consider the equations $Q'(p_7) = Q'(p_8) = Q'(p_9) = 0$. The sum of these equations yields $\mu_{3,4} = \lambda_3$. Remain two independent vanishing linear combinations of λ_1 and λ_2 . This shows $\lambda_1 = \lambda_2 = 0$. If $\lambda_3 = 0$, we obtain the contradiction $Q' = 0$. We can therefore normalize $\lambda_3 = 1$ and obtain $Q' = Q$.

We have shown that the only quadric containing \mathcal{N} is $V(Q)$.

In order to complete the proof of the Lemma it suffices to show that each of the two orbits of 13-subsets of our ovoid under the action of the full orthogonal group contains a superset of \mathcal{N} . The union of \mathcal{N} with a full orbit of ρ and the fixed point $(1 : 0 : 0 : 0)$ is a 13-cap, which is invariant under ρ . This is therefore a member of the short orbit of 13-caps under the action of the orthogonal group. Remains to show that not all 13-caps containing \mathcal{N} belong to the short orbit. We can work in the group $PGL_2(16)$ in its action on the projective line. Elements of order 3 have precisely 2 fixed points. We can therefore change notation such that

$$\rho = (1, 2, 3)(4, 5, 6)(7, 8, 9)(10, 11, 12)(13, 14, 15)(X)(Y).$$

Here we have abbreviated P_i by i , hence $\mathcal{N} = \{1, 2, \dots, 9\}$. Let $M = \mathcal{N} \cup \{10, 11, X, Y\}$. We claim that there is no element $\rho' \in PGL_2(16)$ of order 3 stabilizing M . This will prove then that the corresponding 13-cap belongs to the long orbit under the full orthogonal group.

Assume ρ' is such element. ρ' operates on the complement $\{12, 13, 14, 15\}$ of M . It must have precisely one fixed point there. If this fixed point is 12, then ρ' agrees either with ρ or with ρ^{-1} in its action on $\{13, 14, 15\}$. Because of the sharp triple transitivity of $PGL_2(q)$ we conclude that $\rho' = \rho$ or $\rho' = \rho^{-1}$. This is a contradiction.

It follows that we can assume without restriction that 13 is a fixed point of ρ' . It follows that either $(12, 14, 15)$ or $(12, 15, 14)$ is a cycle of ρ' . In the former case, $(13, 15)$ is a cycle of $\rho\rho'$. It follows from the structure of PGL , that $\rho\rho'$ has order 2. As it maps $14 \mapsto 12$ we must have that $\rho' : 10 \mapsto 14$, contradiction. In the latter case $\rho\rho'^{-1}$ contains the cycle $(13, 15)$ and maps $14 \mapsto 12$. It must therefore map $12 \mapsto 14$. This forces $\rho' : 14 \mapsto 10$, another contradiction. ■

It follows from Lemma 4 that each cap of size ≥ 13 in $PG(3, 4)$ is contained in at most one ovoid. This has the consequence that the automorphism group of such a cap, which is contained in an ovoid, equals the stabilizer of the cap under the action of the automorphism group of the ovoid. We arrive at the following:

Theorem 4 *We consider orbits of caps of size ≥ 13 contained in some ovoid in $PG(3, 4)$ under the action of $PGL_4(4)$.*

The following holds:

- *There is one such orbit for each of the cardinalities 17, 16, 15, 14. The automorphism groups have orders 17.16.15.4, 16.15.4, 120 and 24, respectively.*
- *There are two such orbits for cardinality 13. The automorphism groups have orders 48 and 8, respectively.*

The following is an ovoid:

| The ovoid in $PG(3, 4)$ | | | | | | | | | | | | | | | | |
|-------------------------|---|---|---|---|------------|---|------------|----------|----------|------------|----------|------------|------------|------------|------------|------------|
| 1 | 0 | 0 | 0 | 1 | ω^2 | 1 | ω^2 | ω | 1 | ω^2 | ω | ω^2 | 0 | 1 | 0 | ω |
| 0 | 1 | 0 | 0 | 1 | ω | 1 | ω | 1 | ω | ω^2 | 0 | 1 | ω^2 | 0 | ω | ω^2 |
| 0 | 0 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | ω | ω | ω | ω^2 | ω^2 | ω^2 |
| 0 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

The first 13 columns yields a cap with 8 automorphisms. A cap with automorphism group of order 48 is obtained by restricting to columns 1, 2, ..., 12 and 15.

2.2 Caps not contained in ovoids

Let us call a cap in $PG(3, 4)$ **non-embeddable** if it is not contained in an ovoid. The maximal cardinality of a non-embeddable cap is 14. According to [4] there is exactly one $PGL(4, 4)$ -orbit of non-embeddable 14-caps. Here is a representative:

| The complete 14-cap \mathcal{K}_{14} in $PG(3, 4)$ | | | | | | | | | | | | | |
|--|---|---|---|---|------------|------------|---|------------|------------|---|---|----------|------------|
| 1 | 0 | 0 | 0 | 1 | ω^2 | ω | 1 | ω^2 | ω | 1 | 0 | ω | ω^2 |
| 0 | 1 | 0 | 0 | 1 | ω | ω^2 | 1 | ω | ω^2 | 0 | 1 | ω | ω^2 |
| 0 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 1 |
| 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

Let G be the stabilizer of \mathcal{K}_{14} in $PGL(4, 4)$, $G_0 = G \cap PGL(4, 4)$. Then G_0 is a semidirect product of an elementary abelian group E_0 by $GL(3, 2)$. We have $E_0 = \langle \alpha_1, \alpha_2, \alpha_3 \rangle$, where

$$\alpha_1 = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \alpha_2 = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \alpha_3 = \begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Points of $PG(3, 4)$ are written as column vectors. G_0 is generated by E_0, τ and σ , where

$$\tau = \begin{pmatrix} 1 & 0 & 1 & \omega \\ 0 & 1 & 1 & \omega^2 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} \sigma = \begin{pmatrix} 0 & 1 & 0 & \omega \\ 0 & 1 & 1 & \omega \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 1 \end{pmatrix}$$

Here τ has order 4, σ has order 7. The stabilizer of \mathcal{K}_{14} in $PGL(4, 4)$ is the direct product of G_0 and its center $\langle \alpha_3 \phi \rangle$ of order 2. ϕ denotes the Frobenius automorphism. In particular the automorphism group G is transitive on the points of \mathcal{K}_{14} .

It follows from [4] that there is precisely one orbit of complete 13-caps. A non-complete non-embeddable 13-cap must be embeddable in \mathcal{K}_{14} . As the automorphism group of \mathcal{K}_{14} is transitive on its points we see that there is at most one orbit of non-embeddable non-complete 13-caps. It is easy to check that the 13-caps contained in \mathcal{K}_{14} are indeed non-embeddable. We conclude that there are precisely two orbits of non-embeddable 13-caps. Here is a complete 13-cap:

| The complete 13-cap \mathcal{K}_{13} in $PG(3, 4)$ | | | | | | | | | | | | |
|--|---|---|---|---|------------|------------|---|------------|------------|---|----------|------------|
| 1 | 0 | 0 | 0 | 1 | ω^2 | ω | 1 | ω^2 | ω | 1 | ω | 0 |
| 0 | 1 | 0 | 0 | 1 | ω | ω^2 | 1 | ω | ω^2 | 0 | 1 | ω^2 |
| 0 | 0 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 1 | 1 | 1 |
| 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 |

3 The main recursive procedure

3.1 The recursive procedure

We print here the heart of the C++ program, the recursive procedure. In the following subsection we will provide an explanation.

```

void rt(const int ti){
    int i,j,ii,z ;
    b1 a;
    if(ti>maxx){
        maxx=ti;
        if (ti>0)
            pri(maxx);
    }
    for(i=0;i<an[ti];i++){
        z=-1;
        for(j=i+1;j<an[ti];j++){
            a=tv[ti][j].b;
            if (!(bb[ti][i][a.i]&a.x)){
                z++;
                id[ti][z]=j;
            }
        }
        if (z+ti>=agk-2-lae){
            an[ti+1]=z+1;
            erg[ti]=tv[ti][i].n;
            for (j=0;j<=z;j++){
                tv[ti+1][j]=tv[ti][id[ti][j]];
            }
            for (ii=0;ii<abl;ii++)

```

```

        bb[ti+1][j][ii]=bb[ti][i][ii]|bb[ti][id[ti][j]][ii];
    for (ii=0;ii<tai[tv[ti][i].n][tv[ti+1][j].n];ii++){
        a=tab[tv[ti][i].n][tv[ti+1][j].n][ii];
        bb[ti+1][j][a.i]=a.x;
    }
}
rt(ti+1);
}
}
};

```

3.2 Description of the recursive procedure

We use homogeneous coordinates. A point in $PG(4,4)$ is therefore represented as $(x_0 : x_1 : x_2 : x_3 : x_4)$. Consider the hyperplane $H = (x_4 = 0)$. A cap $\mathcal{C} \subset H$ is given. Put $m = |\mathcal{C}|$. We wish to determine the 42-caps $\mathcal{K} \subset PG(4,4)$ satisfying $\mathcal{K} \cap H = \mathcal{C}$. As the pointwise stabilizer of H in $PGL_4(4)$ is transitive on the affine space $PG(4,4) \setminus H$, we can assume that point $F = (0 : 0 : 0 : 0 : 1) \in \mathcal{K}$. The program performs an exhaustive search for such caps, which contain F and intersect H precisely in \mathcal{C} .

The parameter ti describes the **depth** of the program. When the recursive procedure is called for the first time we have $ti = 0$. Whenever the recursion procedure is called with the new value of ti , we are given a cap $\mathcal{P}_{ti-1} \supset \mathcal{C} \cup \{F\}$ of size $m + 1 + ti$. Put $\mathcal{P}_{-1} = \mathcal{C} \cup \{F\}$. For any cap $\mathcal{U} \subset PG(4,4)$ denote by $G(\mathcal{U})$ (the **good points**) the set of affine points $p \notin \mathcal{U}$, which complement \mathcal{U} to a cap. The cardinality of $G(\mathcal{P}_{ti-1})$ is stored in $an[ti]$, the points of $G(\mathcal{P}_{ti-1})$ are stored in $tv[ti][i]$, where $i = 0 \dots, an[ti] - 1$.

Table $bb[ti][p]$ contains the set $G(\mathcal{P}_{ti-1} \cup \{p\})$ for all $p \in G(\mathcal{P}_{ti-1})$. Another table $tab[p][q]$ stores the points on the line through points p and q . Naturally this table will contain only the information that is really needed in the program. With these preparations we are ready to describe the recursive procedure:

- If the depth reached is bigger than the current maximum, then the maximum is updated and some output is produced.

- The program runs then through all $p \in G(\mathcal{P}_{ti-1})$. Assume in the sequel p is given.
- The point p is used to extend the cap provided

$$|G(\mathcal{P}_{ti-1} \cup \{p\})| + |\mathcal{P}_{ti-1}| \geq 41.$$

Assume point p satisfies the last condition. Put

$$\mathcal{P}_{ti} = \mathcal{P}_{ti-1} \cup \{p\}.$$

The following steps are then performed by the program:

- Some parameters are updated.
- For all $q \in G(\mathcal{P}_{ti})$ the sets $G(\mathcal{P}_{ti} \cup \{q\})$ are determined and stored in $bb[ti + 1][q]$. This is done using

$$G(\mathcal{P}_{ti} \cup \{q\}) = (G(\mathcal{P}_{ti-1} \cup \{p\}) \cap G(\mathcal{P}_{ti-1} \cup \{q\})) \setminus tab[p][q].$$

- Finally the recursive procedure is called again at depth $ti + 1$.

4 Appendix

4.1 The field \mathbb{F}_{16}

We describe \mathbb{F}_{16} as an extension $\mathbb{F}_4(\epsilon)$ of $\mathbb{F}_4 = \{0, 1, \omega, \omega^2\}$. Our irreducible polynomial is $f(X) = X^2 + X + \omega$. This leads to the relation $\epsilon^2 + \epsilon + \omega = 0$. In order to see that $f(X)$ has maximal exponent write the elements of \mathbb{F}_{16} as $\alpha\epsilon + \beta$, where $\alpha, \beta \in \mathbb{F}_4$. It follows $\epsilon^3 = (\epsilon + \omega)\epsilon = \epsilon^2 + \epsilon\omega = \epsilon + \omega + \epsilon\omega = \epsilon\omega^2 + \omega$. Proceeding in the same way we get $\epsilon^4 = \epsilon + 1, \epsilon^5 = \epsilon^2 + \epsilon = \omega$. As ω has order 3, it is clear that ϵ has order 15, thus $f(X)$ has maximal exponent. The remaining powers of ϵ are obtained by observing $\epsilon^{5+i} = \omega\epsilon^i, \epsilon^{10+i} = \omega^2\epsilon^i$. The additive structure is already determined:

| | | |
|--------------------------------------|-----------------------------------|---|
| $1 + \epsilon + \epsilon^4 = 0$ | $1 + \epsilon^2 + \epsilon^8 = 0$ | $1 + \epsilon^3 + \epsilon^{14} = 0$ |
| $1 + \epsilon^6 + \epsilon^{13} = 0$ | $1 + \epsilon^7 + \epsilon^9 = 0$ | $1 + \epsilon^{11} + \epsilon^{12} = 0$ |

4.2 41-caps in $PG(4, 4)$

The columns of the following matrix M_1 form a 41-cap.

| |
|---|
| 10000213010223333122103103230321021023032 |
| 01000132101013221322010121332022301101303 |
| 00100303223220123321330101023302112102012 |
| 00010032111103331223101030223133210010212 |
| 00001130331132032231021013303320332120102 |

Clearly we have written $\mathbb{F}_4 = \{0, 1, 2, 3\}$, where $2 + 3 = 2 \cdot 3 = 1$. Matrix M_1 is the generator matrix of a quaternary code $[41, 5, 28]_4$. The weight distribution of this code is

$$A_{28} = 120, A_{29} = 360, A_{31} = 288, A_{32} = 135, A_{37} = 120.$$

The columns of the following matrix M_2 form another 41-cap in $PG(4, 4)$.

| |
|---|
| 10000112213322333222333020022100311310012 |
| 01000100200210110110130300230321231311222 |
| 00100012002001101101103302003312213311222 |
| 0001011001110001111111111111111111101011 |
| 00001001111122222211133333300022222200113 |

The weight distribution of the code generated by M_2 is

$$A_{24} = 9, A_{26} = 12, A_{28} = 105, A_{30} = 660$$

$$A_{32} = 90, A_{34} = 36, A_{36} = 51, A_{38} = 60.$$

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