

Perfectoid algebras II

category of

 $K$  perfectoid field,  $K$ -Perf perfectoid  $K$ -algebras

This talk has two parts:

(1) Deformation theory: Provide (c), (D) in the tilting equivalence

$$K\text{-Perf} \underset{(A)}{\cong} K^{oa}\text{-Perf} \underset{(c)}{\cong} K^{oa}/\bar{\omega}\text{-Perf}$$

$$K^b\text{-Perf} \underset{(B)}{\cong} K^{boa}\text{-Perf} \underset{(D)}{\cong} K^{boa}/\bar{\omega}^b\text{-Perf}$$

(A), (B) were explained in talk I (Elmar)

(2) Almost purity for finite étale covers (in char  $p$ ):given  $R \in K^b\text{-Perf}$ , then (B) induces  $R_{\text{fét}} \cong R^{oa}_{\text{fét}}$ .(1) Deformation theory $R \rightarrow S$  morphism of  $K^\circ$ -algebras  $\rightsquigarrow \mathbb{L}_{S/R} \in D^{\leq 0}(S)$  cotangent complex $\mathbb{L}_{S^a/R^a}^a :=$  image of  $\mathbb{L}_{S/R}$  in  $D^{\leq 0}(S^a)$ , well-defined, controls the def. th. of  $R^a \rightarrow S^a$ Lemma 1:  $\bar{A} \in K^{oa}/\bar{\omega}\text{-Perf}$ . Then  $\mathbb{L}_{\bar{A}/(K^{oa}/\bar{\omega})}^a \cong 0$ .Proof: Choose flat  $K^\circ/\bar{\omega}$ -algebra  $B$  with  $B^a = \bar{A}$  &  $B/\bar{\omega}^{1/p} \cong_{\mathbb{F}} B$   
(e.g.  $B = (\bar{A} \times K^{oa}/\bar{\omega})_{!!}$ ) $B^k := B[\{X_b\}_{b \in B^{k-1}}]$ ,  $k \geq 0$  simplicial resolution of  $B$   
( $B^0 \rightarrow B^{-1} := B, X_b \mapsto b$ )

Extend  $\Phi$  via  $X_b \mapsto X_b^p \Rightarrow$

$$K^\circ/\bar{\omega} \otimes_{K^\circ/\bar{\omega}^{1/p}} B^\circ/\bar{\omega}^{1/p} \cong_{\Phi} B^\circ \quad \text{augmented simplicial } K^\circ/\bar{\omega} \text{-algebras}$$

Pass to  $\mathbb{L}$  (i.e. apply  $\Omega^1(\cdot)/(K^\circ/\bar{\omega}) \otimes_{(\cdot)} (\cdot)^{-1}$ )  $\Rightarrow$

$$K^\circ/\bar{\omega} \otimes \mathbb{L}_{(B/\bar{\omega}^{1/p})/(K^\circ/\bar{\omega}^{1/p})} \cong_{d\Phi} \mathbb{L}_{B/(K^\circ/\bar{\omega})}$$

But this is the zero map:  $d\Phi(X_b) = dX_b^p = pX_b^{p-1}dX_b = 0!$   $\square$   
 $pB=0$

Theorem I:  $A \rightarrow A/\bar{\omega}$  is an equivalence

$$K^{oa}\text{-Perf} \cong K^{oa}/\bar{\omega}\text{-Perf}.$$

Proof: Essentially surjective: let  $\bar{A}_1 \in K^{oa}/\bar{\omega}\text{-Perf}$   $\xRightarrow{\text{lemma}}$

$\exists!$  flat  $K^{oa}/\bar{\omega}^2$ -algebra  $\bar{A}_2$  with  $\bar{A}_2/\bar{\omega} \cong \bar{A}_1$ .

Let  $n \geq 2$  & suppose  $\boxed{K^{oa}/\bar{\omega}^n}$  ex. unique flat

$K^{oa}/\bar{\omega}^k$ -algebras  $\bar{A}_k$  with:  $\bar{A}_k/\bar{\omega}^{k-1} \cong \bar{A}_{k-1} \quad \forall k=1, \dots, n$ ,

$$\mathbb{L}_{\bar{A}_k/(K^{oa}/\bar{\omega}^k)} \cong 0 \quad \forall k=1, \dots, n-1.$$

Have exact sequence

$$0 \rightarrow \bar{A} \xrightarrow{\bar{\omega}^{n-1}} \bar{A}_n \rightarrow \bar{A}_{n-1} \rightarrow 0$$

$\cong$   
 $\bar{A}_n/\bar{\omega}$

apply  $\Rightarrow$  get exact triangle in  $D^{\leq}(\bar{A}_m)$

$$\mathbb{L}_{\bar{A}_n/(K^{oa}/\bar{\omega}^n)}^a \otimes \bar{A}_m(\cdot)$$

$$\mathbb{L}_{\bar{A}/(K^{oa}/\bar{\omega})}^a \rightarrow \mathbb{L}_{\bar{A}_n/(K^{oa}/\bar{\omega}^n)}^a \rightarrow \mathbb{L}_{\bar{A}_{n-1}/(K^{oa}/\bar{\omega}^{n-1})}^a \rightarrow$$

Hypothesis  $\Rightarrow \mathbb{L}_{\bar{A}_m/(K^{oa}/\bar{\omega}^n)}^a \cong \mathcal{O} \rightsquigarrow$  obtain  $\bar{A}_{m+1}$  etc.

Put  $A := \varprojlim_n \bar{A}_m \in K^{oa}\text{-Perf}$  with  $A/\bar{\omega}^n \cong \bar{A}_m$ .

Similarly: unicity of lifts for morphisms  $\Rightarrow$  fully faithful.  $\square$

Check: The composite equivalence  $K\text{-Perf} \cong K^b\text{-Perf}$  maps  $R \mapsto R^b = \varprojlim_{x \mapsto x^p} R$ , in particular  $R^b \xrightarrow{\#} R$  have cts. multipl.

(key point: lifting in char  $p$  is explicit: if  $R \in K\text{-Perf}$  and  $A := R^{oa}$ , then  $A^b := \varprojlim_{\Phi} A/\bar{\omega}$  lifts  $A/\bar{\omega} \in K^{boa}/\bar{\omega}\text{-Perf}$ .)

## (2) Almost purity

As before  $R \in K\text{-Perf}$ ,  $A := R^{oa}$ ,  $\bar{A} := R^{oa}/\bar{\omega}$ .

( $\cdot$ )<sub>fét</sub> category of finite étale covers

Lemma 2 :  $\bar{B}$  finite étale  $\bar{A}$ -algebra  $\Rightarrow \bar{B} \in K^{oa}/\bar{\omega}$ -Perf

Proof: flat  $\checkmark$  &  $\bar{A}/\bar{\omega} \not\cong \bar{B}/\bar{\omega} \not\cong \bar{A}$  is cocartesian by étaleness  $\square$

$$\begin{array}{ccc} \bar{A}/\bar{\omega} \not\cong \bar{B}/\bar{\omega} \not\cong \bar{A} & \longrightarrow & \bar{B}/\bar{\omega} \not\cong \bar{B} \\ \cong \downarrow \Phi & & \downarrow \Phi \\ \bar{A} & \longrightarrow & \bar{B} \end{array}$$

Hence we have a commutative diagram

$$\begin{array}{ccc} A \text{ fét} & \xrightarrow[\text{mod } \bar{\omega}]{\cong} & \bar{A} \text{ fét} \\ \eta \downarrow & & \eta \downarrow \text{ Lemma} \\ K^{oa}\text{-Perf} & \xleftarrow[(c)]{\cong} & K^{oa}/\bar{\omega}\text{-Perf} \end{array}$$

where the upper horizontal equivalence is 'idempotent lifting' (valid due to  $\bar{\omega}$ -adic completeness, cf. earlier talk)

Recall :  $K\text{-Perf} \xleftarrow[(A)]{\cong} K^{oa}\text{-Perf}$  via  $B \mapsto B_*[\bar{\omega}^{-1}]$

In particular,  $A_*[\bar{\omega}^{-1}] = R$ .

Lemma 3 :  $B \in A \text{ fét} \Rightarrow B_*[\bar{\omega}^{-1}] \in R \text{ fét}$

Proof: •  $\text{Ext}_{A_*}^i(B_*, X) \cong \sigma \quad \forall i > 0, X$

•  $B_* \otimes_{A_*} B_* \xrightarrow{\text{al. } \cong} (B \otimes_A B)_*$

- 4 -  $\psi_e$  diagonal idempotent

- $\exists \varepsilon \in m$  & f.g.  $A_*$ -module  $N_\varepsilon$  w.  $N_\varepsilon \xrightarrow{\varphi} B_*$  + has  $\varepsilon \ker \varphi = \varepsilon \operatorname{coker} \varphi = 0$ .

Now invert  $\bar{\omega}$ .  $\square$ .

Theorem II:  $R \underset{\text{fét}}{\cong} (A) \underset{\text{fét}}{A}$

Proof: Assume  $\operatorname{char} K = p$  ( $\operatorname{char} K = 0$  version in later talks)  
 Lemmas  $\Rightarrow$  suffices to show: given  $S \in R \underset{\text{fét}}{\text{fét}}$ , then  $S \in K\text{-Perf}$   
 and  $S^{oa}$  is  $\text{fét}$  over  $R^{oa} = A$ .

(i) Topology on  $S$ : choose any  $R^\circ$ -subalgebra  $S_0 \subset S$ , <sup>module</sup> finite/ $R^\circ$   
 w.  $S_0 \otimes K = S$  as "mitball" in  $S$ , top. is independent of choice of  $S_0$ .

(ii)  $S$  is perfect, so ~~remains to show~~  $S^\circ$  open & bounded.  
 $S \in K\text{-Perf}$  if

let  $Y := \text{int. closure of } R^\circ \text{ in } S \Rightarrow$

- $S_0 \subseteq Y$  so  $Y$  open
- $\operatorname{tr}_{S/R}(Y) \subseteq R^\circ$  (\*)

Claim:  $Y$  bounded,

since:  $\operatorname{Tr}: S \otimes_R S \longrightarrow R, (x, y) \longmapsto \operatorname{tr}_{S/R}(xy)$  non-degenerate

$\Rightarrow \exists x_1, \dots, x_m \in S$  with  $s = \sum_{i=1}^m \operatorname{Tr}(y_i, s) x_i \quad \forall s \in S$  (\*\*)

$S_0^\perp := \{x \in S: \operatorname{Tr}(x, S_0) \subset R^\circ\}$  is bounded: choose  $N$  s.t.

$\bar{\omega}^N y_i \in S_0$ . Then  $\bar{\omega}^N s \in \sum_i R^\circ x_i \quad \forall s \in S_0^\perp$  by (\*\*).

Claim follows since  
 $Y \subseteq S_0^\perp$  by (\*).

Finally,  $Y \stackrel{\text{claim}}{\subseteq} S^\circ \Rightarrow S^\circ$  open &  
 $s \in S^\circ \Rightarrow (\bar{\omega} s)^n \xrightarrow{n} 0$ , hence  $s \in \frac{1}{\bar{\omega}} Y \stackrel{\text{claim}}{\Rightarrow} S^\circ$  bound.

(iii) Claim:  $\exists m \geq 1$  s.t.  $\forall \mathcal{E} \in \mathcal{M}$  (= maximal ideal  $\subseteq K^\circ$ )

have linear maps  $v_{\mathcal{E}}: S^\circ \rightarrow R^{on}$

$p_{\mathcal{E}}: R^{on} \rightarrow S^\circ$  with  $p_{\mathcal{E}} \circ v_{\mathcal{E}} = \text{id}$ .

Since:  $e \in S \otimes_R S$  diagonal idempotent  $\Rightarrow N \gg 0$  s.t.

$w^N e \in S^\circ \otimes_{R^\circ} S^\circ$ , say  $= \sum_{i=1}^n a_i \otimes b_i$

$S \otimes_R S$  perfect  $\Rightarrow w^{N/m} p e \in S^\circ \otimes_{R^\circ} S^\circ \forall m$

$\mathcal{M} = \bigcup_m K^\circ w^{N/m} p \Rightarrow \mathcal{E} e = \sum_{i=1}^n a_i^\mathcal{E} \otimes b_i^\mathcal{E} \in S^\circ \otimes_{R^\circ} S^\circ \forall \mathcal{E} \in \mathcal{M}$ .

Put  $v_{\mathcal{E}}(s) := (\text{Tr}(s, b_1^\mathcal{E}), \dots, \text{Tr}(s, b_n^\mathcal{E}))$ ,  $p_{\mathcal{E}}(\underline{r}) := \sum_{i=1}^n r_i a_i^\mathcal{E}$ .

(iv)  $S^\circ$  (uniformly) al. f.g. /  $R^\circ$ :  $R^{on} \xrightarrow{p_{\mathcal{E}}} S^\circ$  with  $\text{ecoker } p_{\mathcal{E}} = 0 \forall \mathcal{E}$ .

$S^{oa}$  almost proj. /  $R^{oa}$ :  $\forall i > 0$

$\text{al Ext}_{R^{oa}}^i(S^{oa}, X) \xrightarrow{p_{\mathcal{E}}^a} \text{al Ext}_{R^{oa}}^i(R^{oa, n}, X) \xrightarrow{v_{\mathcal{E}}^a} \text{al Ext}_{R^{oa}}^i(S^{oa}, X)$

$\mathcal{E}$ .

$S^{oa}$  unramified /  $R^{oa}$ :  $e \in \text{Hom}_{K^\circ}(\mathcal{M}, S^\circ \otimes_{R^\circ} S^\circ) \stackrel{\text{def}}{=} (S^{oa} \otimes_{R^{oa}} S^{oa})^*$   
 (see  $\heartsuit$ ) provides a diagonal idempotent.

Hence  $S^{oa}/R^{oa}$  is finite étale.  $\square$